

Date _____

A. BUSINESS INFORMATION

Legal/Corporate Name: _____ Tax ID: _____

Trade Name: _____ Franchisor Name: _____

Mailing Address: _____

Physical Address: _____ Same as Above

Primary Trade Area (locations where you conduct business): _____

Nature of Business (please be specific): _____

Telephone: _____ Email: _____ Website: _____

Business Structure:

State of Incorporation/Organization: _____ Date Established: _____

Sole Proprietorship For-Profit Corporation Limited Liability Company

Partnership Non-Profit Corporation Other _____

Years at Present Location: _____ Own Lease Is this business a start-up? Yes No

Is the business directly or indirectly related to the marijuana industry? Yes No

Will the business perform any of the following activities for its customers? Yes (check applicable activity) No

Cash Checks Exchange Currency Perform Wire Transfers Payday Loans

Sell Money Orders, Travelers Checks or Stored Value Cards Participate in Internet Gambling

Does the business own or operate ATMs? Yes No

Does the Client or Owner have any affiliate businesses? Yes No _____

An affiliate is primarily defined as any entity that is controlled by the client, its stockholders, managers or directors

Have you or anyone paid for assistance to prepare the required paperwork for SBA? Yes No _____

Clients are not required to employ an agent to assist with the preparation of the SBA loan process, but may opt to do so

Is this inquiry under consideration by any other lender or the SBA? Yes No _____

Has this request ever been submitted to the SBA? Yes No _____

Does the Client or Affiliate have any existing SBA loans? Yes No If yes, SBA Loan # _____

Does the Client or Affiliate operate under a Franchise/License/Dealer or any other type of Agreement? Yes No

Are there Co-Applicants? Yes No _____

Purpose of Request: _____

of Current Employees for Client Business including Owner(s):

_____ Part-Time _____ Full-Time _____ Seasonal/Temporary

of Current Employees for Affiliate Businesses (list individually):

_____ Part-Time _____ Full-Time _____ Seasonal/Temporary

Will the loan result in new employees? Yes No If yes, how many FTEs*? _____

**Full-Time Equivalents. For example, one full-time employee and one half-time employee are 1.5 FTEs. Include Owner(s)*

B. OWNERSHIP INFORMATION – CLIENT BUSINESS

Name, Title: _____

Home Address: _____

City, State, Zip: _____

Phone: _____

Date of Birth: _____

Place of Birth: _____

% of Ownership: _____

SSN/TIN: _____

Name, Title: _____

Home Address: _____

City, State, Zip: _____

Phone: _____

Date of Birth: _____

Place of Birth: _____

% of Ownership: _____

SSN/TIN: _____

Attach additional form for more listings

If “Yes” is answered on any of the questions below, please provide details on a separate attachment.

Are any owners/guarantors presently subject to an indictment, criminal information, arraignment or any other means by which formal criminal charges are brought in any jurisdiction? Yes No

Have any owners/guarantors been arrested in the last 6 months for any criminal offense? Yes No

For any criminal offense – other than a minor vehicle violation – have you ever plead guilty, been convicted, placed on pretrial diversion or placed on any form of parole or probation (including probation before judgement?) Yes No

Are any owners/guarantors presently suspended, debarred, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency? Yes No

Are any owners/guarantors more than 60 days delinquent on any obligation to pay child support arising under any order or agreement? Yes No

Have any owners/guarantors ever been involved in bankruptcy, bankruptcy protection or insolvency proceedings? Yes No

Are any owners/guarantors involved in any pending lawsuits or legal actions (including divorce)? Yes No

Are any owners/guarantors NOT U.S. Citizens? If yes, please provide Registration #: _____ Yes No

Are any owners/guarantors a Politically Exposed Person (PEP)? Yes No

Do any owners/guarantors, their spouses, or key employees of the client business currently work for or have been separated from for less than 1 year the Small Business Administration, or any Federal Agency or the participating lender? Yes No

Does the company presently, or will it as a result of this loan, engage in export trade? If yes, attach a listing of all businesses and your ownership percentage or position in the business.) Yes No

Do any owners/guarantors have any government financing, including student loans? If yes, has it been paid as agreed? Yes No Yes No

Are any of the Client’s revenues derived from gambling, loan packaging, or from the sale of products or services, or the presentation of any depiction, displays or live performances, of a prurient sexual nature? Yes No

C. THIRD PARTY CONTACT INFORMATION

Insurance Agent: _____ Phone: _____ Email: _____
Life Insurance Agent: _____ Phone: _____ Email: _____
Franchisor: _____ Phone: _____ Email: _____

D. PROJECT DETAIL

List the estimated project costs below. Please attach supporting documentation for all Equipment Purchases, Real Estate and Construction Costs.

Requested Term (in years): _____

Specific Purpose* (Check all that apply)

<input type="checkbox"/> Land Purchase	\$ _____
<input type="checkbox"/> Real Estate Purchase	\$ _____
<input type="checkbox"/> Construction (New)	\$ _____
<input type="checkbox"/> Construction Contingency / Cost Overruns	\$ _____
<input type="checkbox"/> Renovations / Building Renovation / Leasehold Improvements	\$ _____
<input type="checkbox"/> Business Acquisition	\$ _____
<input type="checkbox"/> Machinery / Equipment	\$ _____
<input type="checkbox"/> Debt Refinance	\$ _____
<input type="checkbox"/> Working Capital	\$ _____
<input type="checkbox"/> Furniture & Fixtures	\$ _____
<input type="checkbox"/> Inventory Purchase	\$ _____
<input type="checkbox"/> Other: _____	\$ _____
TOTAL	\$ _____
Less Cash/Equity to be injected	\$ _____
TOTAL LOAN REQUEST FOR THE PROJECT	\$ _____

**All proceeds must be used only for business purposes.*

Description of the source of Cash / Equity that will be injected into this Project: _____
